



Execution Quality Summary Statement



Introduction

This document is prepared by Emergo Wealth Ltd pursuant to Article 27(10)(b) of MiFID II and as required by Regulation EU 2017/576.

RTS 28 is intended to provide information to investors enabling them to evaluate the quality of a firm's execution practices by requiring the publication of information about how and where the firm has executed orders during the reference year.

Execution Factors – Relative Importance

The following factors, ranked in accordance to their importance, are taken into consideration in order to determine the execution of orders:

1. **Price: Price** is the most important factor for ensuring best execution. Our brokers and trading venues are selected based on their ability to prove best execution.
2. **Speed of Execution:** Emergo Wealth Ltd places high importance on speed of execution. Retail customers and institutional customers have direct access to our broker through the broker portal. For the funds that Emergo Wealth acts as a manager, orders are placed by the fund manager during the rebalancing process via the broker portal, with the exception of orders for mutual funds which are placed on Vestima by our selected broker. Any fixed income OTC orders received by the Company are placed sequentially with the selected broker.
3. **Likelihood of settlement:** Emergo wealth ensures that any broker and/or trading venue selected has a good track record, is regulated and is well capitalised.

Close links and conflicts of interest

There are no close links and/or conflicts of interest between Emergo Wealth Ltd and its brokers or trading venue. Broker/trading venue selection is based on their ability to deliver best execution.

Emergo Wealth Ltd does not receive any remuneration, discount or non-monetary benefit for routing orders to a particular brokers or trading venue.

2019 - RTS 28 Summary Table

Class of Instrument		Equities			
Notification if <1 average trade per business day in the previous year	N				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Interactive Brokers LLC LEI: 500BSE5T552106SMZR28	100%	100%	N/A	N/A	0%
Class of Instrument		Futures			
Notification if <1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Interactive Brokers LLC LEI: 500BSE5T552106SMZR28	100%	100%	N/A	N/A	0%
Class of Instrument		Options			
Notification if <1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Interactive Brokers LLC LEI: 500BSE5T552106SMZR28	100%	100%	N/A	N/A	0%
Class of Instrument		ETFs			
Notification if <1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Interactive Brokers LLC LEI: 500BSE5T552106SMZR28	100%	100%	N/A	N/A	0%
Class of Instrument		Debt Instruments			
Notification if <1 average trade per business day in the previous year	Y				
Top five execution venues ranked in terms of trading volumes (descending order)	Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	Percentage of aggressive orders	Percentage of directed orders
Athlos Capital Investment Services Ltd LEI: 254900KH6P00017Y1150	57.143%	100%	N/A	N/A	0%
Hellenic Bank Public Compant Ltd LEI: CXUHEGU3MADZ2CEV7C11	42.857%	100%	N/A	N/A	0%

*Questions and Answers On MiFID II and MiFIR investor protection and intermediaries topics, Best Execution Section, Question 14-Information on passive and aggressive orders in the context of portfolio management and RTO: If a portfolio manager, or a receiver and transmitter of orders, sends an order to an entity for execution (broker), the distinction between passive and aggressive orders as defined in Article 2 of RTS 28 is likely not relevant and will not need to be disclosed in the report to be published in accordance with Article 65(6) of the Delegated Regulation.