

Execution Quality Summary Statement

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Introduction

This document is prepared by Emergo Wealth Ltd pursuant to Article 27(10)(b) of MiFID II and as required by Regulation EU 2017/576.

RTS 28 is intended to provide information to investors enabling them to evaluate the quality of a firm's execution practices by requiring the publication of information about how and where the firm has executed orders during the reference year.

Execution Factors – Relative Importance

The following factors, ranked in accordance to their importance, are taken into consideration in order to determine the execution of orders:

- 1. **Price: Price** is the most important factor for ensuring best execution. Our brokers and trading venues are selected based on their ability to prove best execution.
- 2. **Speed of Execution:** Emergo Wealth Ltd places high importance on speed of execution. Retail customers and institutional customers have direct access to our broker through the broker portal. For the funds that Emergo Wealth acts as a manager, orders are placed by the fund manager during the rebalancing process via the broker portal, with the exception of orders for mutual funds which are placed on Vestima by our selected broker. Any fixed income OTC orders received by the Company are placed sequentially with the selected broker.
- 3. **Likelihood of settlement:** Emergo wealth ensures that any broker and/or trading venue selected has a good track record, is regulated and is well capitalised.

Close links and conflicts of interest

There are no close links and/or conflicts of interest between Emergo Wealth Ltd and its brokers or trading venue. Broker/trading venue selection is based on their ability to deliver best execution.

Emergo Wealth Ltd does not receive any remuneration, discount or non-monetary benefit for routing orders to a particular brokers or trading venue.

2019 - RTS 28 Summary Table

Class of Instrument	Equities					
Notification if <1 average						
trade per business day in the						
previous year	N					
	Proportion of	Proportion of				
T fi						
Top five execution venues	volume traded as	orders executed as	Description of	D	Description	
ranked in terms of trading	a percentage of	percentage of total	Percentage of	Percentage of	Percentage of	
volumes (descending order)	total in that class	in that class	passive orders	aggressive orders	directed orders	
Interactive Brokers LLC						
LEI: 500BSE 5T552106SMZR28	100%	100%	N/A	N/A	0%	
Class of Instrument	Futures					
Notification if <1 average						
trade per business day in the						
previous year	Y					
	Proportion of	Proportion of				
Top five execution venues	volume traded as	orders executed as				
ranked in terms of trading	a percentage of	percentage of total	Percentage of	Percentage of	Percentage of	
volumes (descending order)	total in that class	in that class	passive orders	aggressive orders	directed orders	
Interactive Brokers LLC						
LEI: 500BSE 5T552106SMZR28	100%	100%	N/A	N/A	0%	
Class of Instrument	Options					
Notification if <1 average						
trade per business day in the						
previous year	Y					
	Proportion of	Proportion of				
Top five execution venues	volume traded as	orders executed as				
ranked in terms of trading	a percentage of	percentage of total	Percentage of	Percentage of	Percentage of	
volumes (descending order)	total in that class	in that class	passive orders	aggressive orders	directed orders	
Interactive Brokers LLC	total in diatelass	in that class	passive orders	assressive of dets	unected of dets	
LEI: 500BSE 5T552106SMZR28	100%	100%	N/A	N/A	0%	
LEI. 500B3E515521065W2R28	100%	100%	N/A	N/A	070	
Olana a filianta un ant						
Class of Instrument		ETFs				
Notification if <1 average						
trade per business day in the						
previous year	Y		1			
	Proportion of	Proportion of				
Top five execution venues	volume traded as	orders executed as				
ranked in terms of trading	a percentage of	percentage of total	Percentage of	Percentage of	Percentage of	
			rereentage of			
volumes (descending order)	total in that class	in that class	passive orders	aggressive orders	directed orders	
volumes (descending order) Interactive Brokers LLC			-	-	directed orders	
	total in that class		-	-	directed orders 0%	
Interactive Brokers LLC		in that class	passive orders	aggressive orders		
Interactive Brokers LLC		in that class	passive orders	aggressive orders		
Interactive Brokers LLC LEI: 500BSE5T552106SMZR28		in that class	passive orders	aggressive orders		
Interactive Brokers LLC LEI: 500BSE 5T552106SMZR28 Class of Instrument		in that class	passive orders	aggressive orders		
Interactive Brokers LLC LEI: 500BSE 5T552106SMZR28 Class of Instrument Notification if <1 average		in that class	passive orders	aggressive orders		
Interactive Brokers LLC LEI: 500BSE 5T552106SMZR28 Class of Instrument Notification if <1 average trade per business day in the	100% Y	in that class	passive orders	aggressive orders		
Interactive Brokers LLC LEI: 500BSE 57552106SMZR28 Class of Instrument Notification if <1 average trade per business day in the previous year		in that class	passive orders	aggressive orders		
Interactive Brokers LLC LEI: 500BSE 57552106SMZR28 Class of Instrument Notification if <1 average trade per business day in the previous year Top five execution venues	100% Y Proportion of volume traded as	Proportion of orders executed as	N/A Debt Instruments	aggressive orders	096	
Interactive Brokers LLC LEI: 500BSE 57552106SMZR28 Class of Instrument Notification if <1 average trade per business day in the previous year Top five execution venues ranked in terms of trading	100% Y Proportion of volume traded as a percentage of	Proportion of orders executed as percentage of total	Percentage of	aggressive orders	0%	
Interactive Brokers LLC LEI: 500BSE 57552106SMZR28 Class of Instrument Notification if <1 average trade per business day in the previous year Top five execution venues ranked in terms of trading volumes (descending order)	Y Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as	N/A Debt Instruments	aggressive orders	096	
Interactive Brokers LLC LEI: 500BSE 57552106SMZR28 Class of Instrument Notification if <1 average trade per business day in the previous year Top five execution venues ranked in terms of trading volumes (descending order) Athlos Capital Investment Services Ltd	100% Y Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	aggressive orders N/A Percentage of aggressive orders	0% Percentage of directed orders	
Interactive Brokers LLC LEI: 500BSE 57552106SMZR28 Class of Instrument Notification if <1 average trade per business day in the previous year Top five execution venues ranked in terms of trading volumes (descending order) Athlos Capital Investment Services Ltd LEI: 254900KH6P00017Y1150	Y Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total	Percentage of	aggressive orders	0%	
Interactive Brokers LLC LEI: 500BSE 57552106SMZR28 Class of Instrument Notification if <1 average trade per business day in the previous year Top five execution venues ranked in terms of trading volumes (descending order) Athlos Capital Investment Services Ltd	100% Y Proportion of volume traded as a percentage of total in that class	Proportion of orders executed as percentage of total in that class	Percentage of passive orders	aggressive orders N/A Percentage of aggressive orders	0% Percentage of directed orders	

*Questions and Answers On MiFID II and MiFIR investor protection and intermediaries topics, Best Execution Section, Question 14-Information on passive and aggressive orders in the context of portfolio management and RTO: If a portfolio manager, or a receiver and transmitter of orders, sends an order to an entity for execution (broker), the distinction between passive and aggressive orders as defined in Article 2 of RTS 28 is likely not relevant and will not need to be disclosed in the report to be published in accordance with Article 65(6) of the Delegated Regulation.

